

12/07/01

1131 U.S. PTO

Please type a sign (+) inside this box

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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10/00/01**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR ' 1.53(b))

Attorney Docket No.	PZ007G62YP1D1
First Inventor	Young et al.
Title	Human Secreted Proteins
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17) (in duplicate)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 443]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. ☒ Oath or Declaration [Total Pages 70]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR ' ' 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper [Total Pages: 605]
 - c. ☒ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS


9. ☒ Copy of Assignment Papers (cover sheet and document(s)) from parent application (48 pages)
10. ☐ 37 CFR 3.73(b) Statement
11. ☒ Copy of Associate Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☒ Information Disclosure Statement/ Form PTO/SB/08
☒ Copies of Citations (Cited references AA-AY)
14. ☒ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
16. ☐ Certified copy of Priority Document(s)
(if foreign priority is claimed)
17. ☒ Other: Request Under 37 C.F.R. § 1.821(e)

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input checked="" type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-Part (CIP)	of prior application No: <u>09/205,258</u> , filed <u>December 4, 1998</u>
Prior application information:		Examiner <u>M. Moran</u>	Group/Art Unit: <u>1631</u>

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label 22195 or ☐ Correspondence address below

NAME				
ADDRESS				
CITY	STATE	ZIP CODE		
COUNTRY	TELEPHONE	FAX		
NAME (Print/Type)	Janet M. Martineau		Registration No. (Attorney/Agent)	46,903
SIGNATURE			Date	DECEMBER 7, 2001

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2002</h3> <p style="margin: 0;">(Substitute form)</p> <p style="margin: 0;"><i>Patent fees are subject to annual revision.</i></p>		<p>Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">To Be Assigned</td> </tr> <tr> <td>Filing Date</td> <td>December 7, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Young et al.</td> </tr> <tr> <td>Examiner Name</td> <td>To Be Assigned</td> </tr> <tr> <td>Group Art Unit</td> <td>To Be Assigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>PZ007G62YP1D1</td> </tr> </table>		Application Number	To Be Assigned	Filing Date	December 7, 2001	First Named Inventor	Young et al.	Examiner Name	To Be Assigned	Group Art Unit	To Be Assigned	Attorney Docket Number	PZ007G62YP1D1
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Total amount of payment	\$1904.00														

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																																																																																																																						
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 08-3425</p> <p>Deposit Account Name Human Genome Sciences, Inc.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p><input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other*</p>	<p>3. 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Submitted By		<i>Complete (if applicable)</i>	
Name (Print/Type) Janet M. Martineau	Registration No.: 46,903	Telephone 301 315-2723	
Signature:	Date: <u>DECEMBER 7, 2001</u>		